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Author(s): Joseph Frank

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# SPATIAL FORM IN MODERN LITERATURE

AN ESSAY IN TWO PARTS

BY JOSEPH FRANK

## Part I

LESSING'S *Laokoon*, André Gide once remarked, is one of those books it is good to reiterate or contradict every thirty years. Despite this excellent advice, neither of these attitudes toward *Laokoon* has been adopted by modern writers.<sup>1</sup> Lessing's attempt to define the limits of literature and the plastic arts has become a dead issue—one to which respectful reference is occasionally made, but which no longer has any fecundating influence on esthetic thinking. One can understand how this came about in the nineteenth century, with its passion for historicism, but it is not so easy to understand at present, when so many writers on esthetic problems are occupied with questions of form. To a historian of literature or the plastic arts, Lessing's effort to define the unalterable laws of these mediums may well have seemed quixotic; but modern critics, no longer overawed by the bugbear of historical method, have begun to take up again the problems he tried to solve.

Lessing's own solution to these problems seems, at first glance, to have little relation to modern esthetic thinking. The literary school against which the arguments of *Laokoon* were directed, the school of pictorial poetry, has long since ceased to interest the modern sensibility; and many of its conclusions, particularly when based on the plastic arts, grew out of a now-antiquated archeology whose discoveries, to make matters worse, Lessing knew mainly at second-hand. But it was precisely his quixotic attempt to rise above history, to define the unalterable laws of esthetic perception

rather than to attack or defend any particular school, which gives his work the perennial freshness to which André Gide alluded. Since the validity of his theories does not depend on their relationship to the literary movements of his time, or on the extent of his first-hand acquaintanceship with the artworks of antiquity, it is always possible to consider them apart from these circumstances and use them in the analysis of later developments.

In *Laokoon*, Lessing fuses two distinct currents of thought, both of great importance in the cultural history of his time. The archeological researches of Winckelmann, his contemporary, had stimulated a passionate interest in Greek culture among the Germans. Lessing went back to Homer, Aristotle and the Greek tragedians, using his first-hand knowledge to attack the distorted critical theories, supposedly based on classical authority, which had filtered into France through Italian commentators and then taken hold in Germany. At the same time, as Wilhelm Dilthey emphasizes in his famous essay on Lessing, Locke and the empirical school of English philosophy had given a new impulse to esthetic speculation.<sup>3</sup> Locke tried to solve the problem of knowledge by breaking down complex ideas into simple elements of sensation, and then examining the operations of the mind to see how these sensations were combined to form ideas. This method was soon taken over by estheticians: the focus of interest shifted from external prescriptions for beauty to an analysis of esthetic perception. Writers like Shaftesbury, Hogarth, Hutcheson and Burke, to mention only a few, concerned themselves with the precise character and combination of impressions that gave esthetic pleasure to the sensibility. Lessing's friend and critical ally, Mendelssohn, popularized this method of dealing with esthetic problems in Germany, and Lessing himself was a close student of these works and many others in the same general spirit. *Laokoon*, as a result, stands at the confluence of these intellectual currents: Lessing analyzes the laws of esthetic

perception, shows how they prescribe necessary limitations to literature and the plastic arts, and then demonstrates how Greek writers and painters, especially Homer, created masterpieces by obeying these laws.

His argument starts from the simple observation that literature and the plastic arts, working through different sensuous mediums, must therefore differ in the fundamental laws governing their creation. "If it is true," Lessing wrote, "that painting and poetry in their imitations make use of entirely different means or symbols —the first, namely, of form and color in space, the second of articulated sounds in time—if these symbols indisputably require a suitable relation to the thing symbolized, then it is clear that symbols arranged in juxtaposition can only express subjects of which the wholes or parts exist in juxtaposition; while consecutive symbols can only express subjects of which the wholes or parts are themselves consecutive." Lessing did not originate this formulation, which has a long and complicated history; but he is the first to use it systematically, as an instrument of critical analysis. Form in the plastic arts, according to Lessing, is necessarily spatial, because the visible aspect of objects can best be presented juxtaposed in an instant of time. Literature, on the other hand, makes use of language, composed of a succession of words proceeding through time; and it follows that literary form, to harmonize with the essential quality of its medium, must be based primarily on some form of narrative sequence. Lessing used this argument to attack two artistic genres highly popular in his day: pictorial poetry and allegorical painting. The pictorial poet tried to paint with words, the allegorical painter to tell a story in visible images: both were doomed to fail because their aims were in contradiction with the fundamental properties of their mediums. No matter how accurate and vivid a verbal description might be, Lessing argued, it could not give the unified impression of a visible object; no matter how skillfully figures

might be chosen and arranged, a painting or piece of sculpture could not successfully set forth the various stages of an action.

As Lessing develops his argument, he attempts to prove that the Greeks, with an unfailing sense of esthetic propriety, respected the limits imposed on different art mediums by the conditions of human perception. But to understand the importance of Lessing's distinction it is not necessary to follow the ramifications of his argument, nor even to agree with his specific judgments on individual writers. Various critics have quarreled with one or another of these judgments, thinking that, in doing so, they were in some way undermining Lessing's position; but such a belief was based on a misunderstanding of *Laokoon*'s importance in the history of esthetic theory. It is quite possible to use Lessing's insights solely as instruments of analysis, without proceeding to judge the value of individual works by how closely they adhered to the norms he laid down. And unless this is done, as a matter of fact, the real meaning of *Laokoon* cannot be understood. For what Lessing offered was not a new set of opinions, but a new conception of esthetic form.

The conception of esthetic form inherited by the eighteenth century from the Renaissance was a purely external one. Classical literature—or what was known of it—was presumed to have reached perfection, and later writers could do little better than imitate its example. A horde of commentators and critics had deduced certain rules from the classical masterpieces—rules like the Aristotelian unities, of which Aristotle had never heard—and modern writers were warned to obey these rules if they wished to appeal to a cultivated public. Gradually, these rules came to form an external mold into which the material of a literary work had to be poured: the form of a work was nothing but the technical arrangement dictated by the rules. Such a superficial and mechanical notion of esthetic form, however, led to serious perversions of taste—Shakespeare was considered a barbarian even by so sophisticated a writer as Voltaire, and Pope

found it necessary in translating Homer to do a good deal of editing. Lessing's point of view, breaking sharply with this external conception of form, marks out the road for esthetic speculation to follow in the future.

For Lessing, as we have seen, esthetic form is not an external arrangement provided by a set of traditional rules: it is the relation between the sensuous nature of the art medium and the conditions of human perception. Just as the natural man of the eighteenth century was not to be bound by traditional political forms, but was to create them in accordance with his own nature, so art was to create its own forms out of itself, rather than accepting them ready-made from the practice of the past. Criticism was not to prescribe rules for art, but was to explore the necessary laws by which art governs itself. No longer was esthetic form confused with mere externals of technique—it was not a straitjacket into which the artist, willy-nilly, had to force his creative ideas, but issued spontaneously from the organization of the art work as it presented itself to perception. Time and space were the two extremes defining the limits of literature and the plastic arts in their relation to sensuous perception; and it is possible, following Lessing's example, to trace the evolution of art forms by their oscillations between these two poles.<sup>8</sup>

The purpose of the present essay is to apply Lessing's method to modern literature—to trace the evolution of form in modern poetry and, more particularly, in the novel. The first two sections will try to show that modern literature, exemplified by such writers as T. S. Eliot, Ezra Pound, Marcel Proust and James Joyce, is moving in the direction of spatial form. This means that the reader is intended to apprehend their work spatially, in a moment of time, rather than as a sequence. So far as the novel is concerned, this tendency reaches its culmination in Djuna Barnes's remarkable book *Nightwood*, which has never received the critical attention it deserves. The third section will deal with *Nightwood* in detail, analyzing its form and explaining

its meaning. Finally, since changes in esthetic form always involve major changes in the sensibility of a particular cultural period, an effort will be made to outline the spiritual attitudes that have led to the predominance of spatial form.

## 1

Modern Anglo-American poetry received its initial impetus from the Imagist movement of the years directly preceding and following the first World War. Imagism was important not for any actual poetry written by Imagist poets—no one knew quite what an Imagist poet was—but rather because it opened the way for later developments by its clean break with sentimental Victorian verbiage. The critical writings of Ezra Pound, the leading theoretician of Imagism, are an astonishing farrago of keen esthetic perceptions thrown in among a series of boyishly naughty remarks, whose chief purpose, it would seem, is to *épater le bourgeois*—to startle the stuffed shirts. But Pound's definition of the image, perhaps the keenest of his perceptions, is of fundamental importance for any discussion of modern literary form. “An image” Pound wrote, “is that which presents an intellectual and emotional complex in an instant of time.” The implications of his definition should be noted—an image is defined not as a pictorial reproduction, but as unification of disparate ideas and emotions into a complex presented spatially in an instant of time. Such a complex is not to proceed discursively, according to the laws of language, but is rather to strike the reader's sensibility with an instantaneous impact. Pound stresses this aspect by adding, in a later passage, that only the instantaneous presentation of such complexes gives “that sense of sudden liberation; that sense of freedom from time limits and space limits; that sense of sudden growth, which we experience in the presence of the greatest works of art.”

At the very outset, therefore, modern poetry championed a poetic method in direct contradiction to the way in which Les-

sing had said language must be perceived. By comparing Pound's definition of the image with Eliot's well-known description of the psychology of the poetic process, we can see clearly how profoundly this conception has influenced our modern idea of the nature of poetry. For Eliot, the distinctive quality of a poetic sensibility is its capacity to form new wholes, to fuse seemingly disparate experiences into an organic unity. The ordinary man, Eliot writes, "falls in love, or reads Spinoza, and these two experiences have nothing to do with each other, or with the noise of the typewriter or the smell of cooking; in the mind of the poet these experiences are always forming new wholes." While Pound had attempted to define the image in terms of its esthetic attributes, Eliot, in this passage, is describing its psychological origin; but the result in a poem was likely to be the same.

Such a view of the nature of poetry immediately gave rise to numerous problems. How was more than one image to be included in a poem? If the chief value of an image was its capacity to present an intellectual and emotional complex simultaneously, linking up images in a sequence would clearly destroy most of their efficacy. Or was the poem itself one vast image, whose individual components were to be apprehended as a unity? But then it would be necessary to undermine the inherent consecutive-ness of language, frustrating the reader's normal expectation of a sequence and forcing him to perceive the elements of the poem juxtaposed in space rather than unrolling in time.

This is precisely what Eliot and Pound attempted in their major works. Both poets, in their earlier work, still retained some elements of conventional structure. Their poems were looked upon as daring and revolutionary chiefly because of technical matters, like the loosening of metrical pattern and the handling of subjects ordinarily considered non-poetic. Perhaps this is less true of Eliot than of Pound, especially the Eliot of the more complex early works like "Pruferock," "Gerontion" and "Portrait

of a Lady"; but even here, although the sections of the poem are not governed by syntactical logic, the skeleton of an implied narrative structure is always present. The reader of "Prufrock" is swept up in a narrative movement from the very first lines:

Let us go then, you and I,  
When the evening. . .

And the reader, accompanying Prufrock, finally arrives at their mutual destination:

In the room the women come and go  
Talking of Michelangelo.

At this point the poem becomes a series of more or less isolated fragments, each stating some aspect of Prufrock's emotional dilemma; but the fragments are now localized and focused on a specific set of circumstances: the reader can organize them by referring to the implied situation. The same method is employed in "Portrait of a Lady," while in "Gerontion" the reader is specifically told that he has been reading the "thoughts of a dry brain in a dry season"—the stream-of-consciousness of "an old man in a dry month, being read to by a boy, waiting for the rain." In both cases there is a perceptible framework, around which the seemingly disconnected passages of the poem can be organized. This was one reason why Pound's "Mauberly" and Eliot's early work were first regarded, not as forerunners of a new poetic form, but as latter-day *vers de société*—witty, disillusioned, with a somewhat brittle charm, but lacking that quality of "high seriousness" which Matthew Arnold had chosen as the touchstone of poetic excellence. These poems were considered unusual mainly because *vers de société* had long fallen out of fashion: there was little difficulty in accepting them as an entertaining departure from the grand style of the nineteenth century. In the "Cantos" and "The Waste Land," however, it should have been clear

that a radical transformation was taking place in esthetic structure; but this transformation has been touched on only peripherally by modern critics. R. P. Blackmur comes closest to the central problem while analyzing what he calls Pound's "anecdotal" method. The special form of the "Cantos," Blackmur explains, "is that of the anecdote begun in one place, taken up in one or more other places, and finished, if at all, in still another. This deliberate disconnectedness, this art of a thing continually alluding to itself, continually breaking off short, is the method by which the "Cantos" tie themselves together. So soon as the reader's mind is concerted with the material of the poem, Mr. Pound deliberately disconcerts it, either by introducing fresh and disjunct material or by reverting to old and, apparently, equally disjunct material." Blackmur's remarks apply equally well to "The Waste Land," where syntactical sequence is given up for a structure depending on the perception of relationships between disconnected word-groups. To be properly understood, these word-groups must be juxtaposed with one another and perceived simultaneously; only when this is done can they be adequately understood; for while they follow one another in time, their meaning does not depend on this temporal relationship. The one difficulty of these poems, which no amount of textual exegesis can wholly overcome, is the internal conflict between the time-logic of language and the space-logic implicit in the modern conception of the nature of poetry.

Esthetic form in modern poetry, then, is based on a space-logic that demands a complete re-orientation in the reader's attitude towards language. Since the primary reference of any word-group is to something inside the poem itself, language in modern poetry is really reflexive: the meaning-relationship is completed only by the simultaneous perception in space of word-groups which, when read consecutively in time, have no comprehensible relation to each other. Instead of the instinctive and immediate reference of words and word-groups to the objects or events they

symbolize, and the construction of meaning from the sequence of these references, modern poetry asks its readers to suspend the process of individual reference temporarily until the entire pattern of internal references can be apprehended as a unity. This explanation is, of course, the extreme statement of an ideal condition, rather than an actually existing state of affairs; but the conception of poetic form that runs through Mallarmé to Pound and Eliot, and which has left its traces on a whole generation of modern poets, can be formulated only in terms of the principle of reflexive reference. And this principle is the link connecting the esthetic development of modern poetry with similar experiments in the modern novel.

## 2

For a study of esthetic form in the modern novel, Flaubert's famous county fair scene in *Madame Bovary* is a convenient point of departure. This scene has been justly praised for its mordant caricature of bourgeois pomposity, its portrayal—unusually sympathetic for Flaubert—of the bewildered old servant, and its burlesque of the pseudo-romantic rhetoric by which Rodolphe woos the sentimental Emma. At present, it is enough to notice the method by which Flaubert handles the scene—a method we might as well call cinematographic, since this analogy comes immediately to mind. As Flaubert sets the scene, there is action going on simultaneously at three levels, and the physical position of each level is a fair index to its spiritual significance. On the lowest plane, there is the surging, jostling mob in the street, mingling with the livestock brought to the exhibition; raised slightly above the street by a platform are the speech-making officials, bombastically reeling off platitudes to the attentive multitudes; and on the highest level of all, from a window overlooking the spectacle, Rodolphe and Emma are watching the proceedings and carrying on their amorous conversation, in phrases as stilted as those regaling the crowds. Albert Thibaudet has compared

this scene to the medieval mystery play, in which various related actions occur simultaneously on different stage levels; but this acute comparison refers to Flaubert's intention rather than to his method. "Everything should sound simultaneously," Flaubert later wrote, in commenting on this scene; "one should hear the bellowing of the cattle, the whisperings of the lovers and the rhetoric of the officials all at the same time."<sup>4</sup>

But since language proceeds in time, it is impossible to approach this simultaneity of perception except by breaking up temporal sequence. And this is exactly what Flaubert does: he dissolves sequence by cutting back and forth between the various levels of action in a slowly-rising crescendo until—at the climax of the scene—Rodolphe's Chateaubriandesque phrases are read at almost the same moment as the names of prize winners for raising the best pigs. Flaubert takes care to underline this satiric similarity by description, as well as by juxtaposition, as if he were afraid the reflexive relations of the two actions would not be grasped: "From magnetism, by slow degrees, Rodolphe had arrived at affinities, and while M. le Président was citing Cincinnatus at his plow, Diocletian planting his cabbages and the emperors of China ushering in the new year with sowing-festivals, the young man was explaining to the young woman that these irresistible attractions sprang from some anterior existence."

This scene illustrates, on a small scale, what we mean by the spatialization of form in a novel. For the duration of the scene, at least, the time-flow of the narrative is halted: attention is fixed on the interplay of relationships within the limited time-area. These relationships are juxtaposed independently of the progress of the narrative; and the full significance of the scene is given only by the reflexive relations among the units of meaning. In Flaubert's scene, however, the unit of meaning is not, as in modern poetry, a word-group or a fragment of an anecdote, but the totality of each level of action taken as an integer: the unit is

so large that the scene can be read with an illusion of complete understanding, yet with a total unawareness of the "dialectic of platitude" (Thibaudet) interweaving all levels, and finally linking them together with devastating irony. In other words, the struggle towards spatial form in Pound and Eliot resulted in the disappearance of coherent sequence after a few lines; but the novel, with its larger unit of meaning, can preserve coherent sequence within the unit of meaning and break up only the time-flow of narrative. (Because of this difference, readers of modern poetry are practically forced to read reflexively to get any literal sense, while readers of a novel like *Nightwood*, for example, are led to expect narrative sequence by the deceptive normality of language sequence within the unit of meaning). But this does not affect the parallel between esthetic form in modern poetry and the form of Flaubert's scene: both can be properly understood only when their units of meaning are apprehended reflexively, in an instant of time.

Flaubert's scene, although interesting in itself, is of minor importance to his novel as a whole, and is skillfully blended back into the main narrative structure after fulfilling its satiric function. But Flaubert's method was taken over by James Joyce, and applied on a gigantic scale in the composition of *Ulysses*. Joyce composed his novel of an infinite number of references and cross-references which relate to one another independently of the time-sequence of the narrative; and, before the book fits together into any meaningful pattern, these references must be connected by the reader and viewed as a whole. Ultimately, if we are to believe Stuart Gilbert, these systems of reference form a complete picture of practically everything under the sun, from the stages of man's life and the organs of the human body to the colors of the spectrum; but these structures are far more important for Joyce, as Harry Levin has remarked, than they could ever possibly be for the reader. Students of Joyce, fascinated by his erudition, have usually applied themselves to exegesis. Un-

fortunately, such considerations have little to do with the perceptual form of Joyce's novel.

Joyce's most obvious intention in *Ulysses* is to give the reader a picture of Dublin seen as a whole—to re-create the sights and sounds, the people and places, of a typical Dublin day, much as Flaubert had re-created his provincial county fair. And, like Flaubert, Joyce wanted his depiction to have the same unified impact, the same sense of simultaneous activity occurring in different places. Joyce, as a matter of fact, frequently makes use of the same method as Flaubert—cutting back and forth between different actions occurring at the same time—and usually does so to obtain the same ironic effect. But Joyce had the problem of creating this impression of simultaneity for the life of a whole teeming city, and of maintaining it—or rather of strengthening it—through hundreds of pages that must be read as a sequence. To meet this problem, Joyce was forced to go far beyond what Flaubert had done; while Flaubert had maintained a clear-cut narrative line, except in the county-fair scene, Joyce breaks up his narrative and transforms the very structure of his novel into an instrument of his esthetic intention.

Joyce conceived *Ulysses* as a modern epic; and in the epic, as Stephen Dedalus tells us in *The Portrait of the Artist as a Young Man*, "the personality of the artist, at first sight a cry or a cadence and then a fluid and lambent narrative, finally refines itself out of existence, impersonalizes itself, so to speak . . . the artist, like the God of creation, remains within or beyond or above his handiwork, invisible, refined out of existence, indifferent, paring his fingernails." The epic is thus synonymous for Joyce with the complete self-effacement of the author; and, with his usual uncompromising rigor, Joyce carries this implication further than anyone had dared before. He assumes—what is obviously not true—that his readers are Dubliners, intimately acquainted with Dublin life and the personal history of his characters. This allows him to refrain from giving any direct information about his char-

acters: such information would immediately have betrayed the presence of an omniscient author. What Joyce does, instead, is to present the elements of his narrative—the relations between Stephen and his family, between Bloom and his wife, between Stephen and Bloom and the Dedalus family—in fragments, as they are thrown out unexplained in the course of casual conversation, or as they lie embedded in the various strata of symbolic reference; and the same is true of all the allusions to Dublin life, history, and the external events of the twenty-four hours during which the novel takes place. In other words, all the factual background—so conveniently summarized for the reader in an ordinary novel—must be reconstructed from fragments, sometimes hundreds of pages apart, scattered through the book. As a result, the reader is forced to read *Ulysses* in exactly the same manner as he reads modern poetry—continually fitting fragments together and keeping allusions in mind until, by reflexive reference, he can link them to their complements.

Joyce intended, in this way, to build up in the reader's mind a sense of Dublin as a totality, including all the relations of the characters to one another and all the events which enter their consciousness. As the reader progresses through the novel, connecting allusions and references spatially, gradually becoming aware of the pattern of relationships, this sense was to be imperceptibly acquired; and, at the conclusion of the novel, it might almost be said that Joyce literally wanted the reader to become a Dubliner. For this is what Joyce demands: that the reader have at hand the same instinctive knowledge of Dublin life, the same sense of Dublin as a huge, surrounding organism, which the Dubliner possesses as a birthright. It is such knowledge which, at any one moment of time, gives him a knowledge of Dublin's past and present as a whole; and it is only such knowledge which might enable the reader, like the characters, to place all the references in their proper context. This, it should be realized, is practically the equivalent of saying that Joyce cannot be read—

he can only be re-read. A knowledge of the whole is essential to an understanding of any part; but, unless one is a Dubliner, such knowledge can be obtained only after the book has been read, when all the references are fitted into their proper place and grasped as a unity. Although the burdens placed on the reader by this method of composition may seem insuperable, the fact remains that Joyce, in his unbelievably laborious fragmentation of narrative structure, proceeded on the assumption that a unified spatial apprehension of his work would ultimately be possible.

In a far more subtle manner than with Joyce and Flaubert, the same principle of composition is at work in Marcel Proust. Since Proust himself tells us that, before all else, his novel will have imprinted on it "a form which usually remains invisible, the form of Time," it may seem strange to speak of Proust in connection with spatial form. He has, almost invariably, been considered the novelist of time *par excellence*: the literary interpreter of that Bergsonian "real time" intuited by the sensibility, as distinguished from the abstract, chronological time of the conceptual intelligence. To stop at this point, however, is to miss what Proust himself considered the deepest significance of his work. Obsessed with the ineluctability of time, Proust was suddenly visited by certain quasi-mystical experiences—described in detail in the last volume of his work, "Le temps retrouvé"—which, by providing him with a spiritual technique for transcending time, enabled him to escape what he considered to be time's domination. By writing a novel, by translating the transcendent, extra-temporal quality of these experiences to the level of esthetic form, Proust hoped to reveal their nature to the world—for they seemed to him a clue to the ultimate secrets of reality. And not only should the world learn about these experiences indirectly, by reading a descriptive account of them, but, through his novel, it would feel their impact on the sensibility as Proust himself had felt it.

To define the method by which this is accomplished, one must first understand clearly the precise nature of the Proustian revelation. Each such experience, Proust tells us, is marked by a feeling that "the permanent essence of things, usually concealed, is set free and our true self, which had long seemed dead but was not dead in other ways, awakes, takes on fresh life as it receives the celestial nourishment brought to it." This celestial nourishment consists of some sound, or odor, or other sensory stimulus, "sensed anew, simultaneously in the present and the past." But why should these moments seem so overwhelmingly valuable that Proust calls them celestial? Because, Proust observes, his imagination could only operate on the past; and the material presented to his imagination, therefore, lacked any sensuous immediacy. But, at certain moments, the physical sensations of the past came flooding back to fuse with the present; and, in these moments, Proust believed that he grasped a reality "real without being of the present moment, ideal but not abstract." Only in these moments did he attain his most cherished ambition —"to seize, isolate, immobilize for the duration of a lightning flash" what otherwise he could not apprehend, "namely: a fragment of time in its pure state." For a person experiencing this moment, Proust adds, the word "death" no longer has meaning. "Situated outside the scope of time, what could he fear from the future?"

The significance of this experience, though obscurely hinted at throughout the book, is made explicit only in the concluding pages which describe the final appearance of the narrator at the reception of the Princesse de Guermantes. The narrator decides to dedicate the remainder of his life to re-creating these experiences in a work of art; and this work will differ essentially from all others because, at its foundation, will be a vision of reality that has been refracted through an extra-temporal perspective. Viewing Proust as the last and most debilitated of a long line of neurasthenic esthetes, many critics have found in this decision to

create a work of art merely the final step in his flight from the burdens of reality. Edmund Wilson, ordinarily so discerning, links up this view with Proust's ambition to conquer time, assuming that Proust hoped to oppose time by establishing something—a work of art—impervious to its flux; but this somewhat ingenuous interpretation scarcely does justice to Proust's own conviction, expressed with special intensity in the last volume of his work, that he was fulfilling a prophetic mission. It was not the work of art *qua* work of art that Proust cared about—his contempt for the horde of faddish scribblers was unbounded—but a work of art which should stand as a monument to his personal conquest of time. This his own work could do not simply because it was a work of art, but because it was at once the vehicle through which he conveyed his vision and the concrete substance of that vision shaped by a method which compels the reader to re-experience its exact effect.

The prototype of this method, like the analysis of the revelatory moment, occurs during the reception at the Princesse de Guermantes. After spending years in a sanatorium, losing touch almost completely with the fashionable world of the earlier volumes, the narrator comes out of seclusion to attend the reception. He finds himself bewildered by the changes in social position, and the even more striking changes in character and personality among his former friends. According to some socially-minded critics, Proust intended to paint here the invasion of French aristocratic society by the upper bourgeoisie, and the gradual breakdown of all social and moral standards caused by the first World War. No doubt this process is incidentally described at some length; but, as the narrator takes great pains to tell us, it is far from being the most important meaning of the scene. What strikes the narrator, almost with the force of a blow, is this: in trying to recognize old friends under the masks which, as he feels, the years have welded to them, he is jolted for the first time into a consciousness of the passage of time. When a

young man addresses the narrator respectfully, instead of familiarly as if he were an elderly gentleman, the narrator realizes suddenly that he has become an elderly gentleman; but for him the passage of time had gone unperceived up until that moment. To become conscious of time, the narrator begins to understand, it had first been necessary to remove himself from his accustomed environment—or, what amounts to the same thing, from the stream of time acting on that environment—and then to plunge back into the stream after a lapse of years. In so doing, the narrator found himself presented with two images—the world as he had formerly known it, and the world, transformed by time, that he now saw before him; and when these two images are juxtaposed, the narrator discovers, the passage of time is suddenly experienced through its visible effects. Habit, that universal soporific, ordinarily conceals the passage of time from those who have gone their accustomed ways: at any one moment of time the changes are so minute as to be imperceptible. “Other people,” Proust writes, “never cease to change places in relation to ourselves. In the imperceptible, but eternal march of the world, we regard them as motionless in a moment of vision, too short for us to perceive the motion that is sweeping them on. But we have only to select in our memory two pictures taken of them at different moments, close enough together however for them not to have altered in themselves—perceptibly, that is to say—and the difference between the two pictures is a measure of the displacement that they have undergone in relation to us.” By comparing these two images in a moment of time, the passage of time can be experienced concretely, in the impact of its visible effects on the sensibility, rather than as a mere gap counted off in numbers. And this discovery provides the narrator with a method which, in T. S. Eliot’s phrase, is an “objective correlative” to the visionary apprehension of the fragment of “pure time” intuited in the revelatory moment.

When the narrator discovers this method of communicating

his experience of the revelatory moment, he decides, as we have already said, to incorporate it in a novel. But the novel the narrator decides to write has just been finished by the reader; and its form is controlled by the method that the narrator has outlined in its concluding pages. The reader, in other words, is substituted for the narrator, and is placed by the author throughout the book in the same position as the narrator occupies before his own experience at the reception of the Princesse de Guermantes. This is done by the discontinuous presentation of character—a simple device which, nevertheless, is the clue to the form of Proust's vast structure. Every reader soon notices that Proust does not follow any of his characters through the whole course of his novel: they appear and re-appear, in various stages of their lives, but hundreds of pages sometimes go by between the time they are last seen and the time they re-appear; and when they do turn up again, the passage of time has invariably changed them in some decisive way. Instead of being submerged in the stream of time—which, for Proust, would be the equivalent of presenting a character progressively, in a continuous line of development—the reader is confronted with various snapshots of the characters “motionless in a moment of vision,” taken at different stages in their lives; and the reader, in juxtaposing these images, experiences the effects of the passage of time exactly as the narrator had done. As he had promised, therefore, Proust does stamp his novel indelibly with the form of time; but we are now in a position to understand exactly what he meant by the promise.

To experience the passage of time, Proust learned, it was necessary to rise above it, and to grasp both past and present simultaneously in a moment of what he called “pure time.” But “pure time,” obviously, is not time at all—it is perception in a moment of time, that is to say, space. And, by the discontinuous presentation of character, Proust forces the reader to juxtapose disparate images of his characters spatially, in a moment of time, so that the experience of time's passage will be fully communicated to

their sensibility. There is a striking analogy here between Proust's method and that of his beloved Impressionist painters; but this analogy goes far deeper than the usual comments about the "impressionism" of Proust's style. The Impressionist painters juxtaposed pure tones on the canvas, instead of mixing them on the palette, in order to leave the blending of colors to the eye of the spectator. Similarly, Proust gives us what might be called pure views of his characters—views of them "motionless in a moment of vision" in various phases of their lives—and allows the sensibility of the reader to fuse these views into a unity. Each view must be apprehended by the reader as a unit; and Proust's purpose is only achieved when these units of meaning are referred to each other reflexively in a moment of time. As with Joyce and the modern poets, we see that spatial form is also the structural scaffolding of Proust's labyrinthine masterpiece.

[*To be concluded in the next issue*]

#### FOOTNOTES

<sup>1</sup>Irving Babbitt, in 1910, wrote *The New Loakoon*, with the intention of doing for modern art what Lessing had done for the art of his own day. Briefly, Babbitt's thesis was that, just as the confusion of genres in Lessing's time could be traced to a false theory of imitation, so the artistic aberrations of our own time could be traced to a false theory of spontaneity. Babbitt's thesis, however, has nothing to do with Lessing's theories. The discussion of Lessing in the first half of the book merely reinforces the analogy between Lessing's purpose and Babbitt's own.

<sup>2</sup>Das Erlebnis und Die Dichtung, von Wilhelm Dilthey, p. 38-60.

<sup>3</sup>German art criticism, in the last few decades, has experienced a veritable renaissance along the lines marked out by Lessing, although he seems to have had no direct influence on these writers. Numerous efforts have been made to trace the evolution of esthetic forms—usually called style by the Germans—by establishing certain categories of perception and correlating these with various climates of feeling and opinion. Among these critics, perhaps the name most familiar to English readers is Wilhelm Worringer, many of whose ideas were put into currency through the writings and lectures of T. E. Hulme. This whole critical movement, and Worringer's ideas in particular, will be discussed in more detail in a later section. Mention should also be made, at this point, of Edwin Muir's *Structure of the Novel*, the only work in English—so far as is known to the present writer—which attempts to discuss form in literature in terms of perceptual categories.

<sup>4</sup>This discussion of the county-fair scene owes a good deal to Albert Thibaudet's *Gustave Flaubert*, probably the best critical study yet written on the subject. The quotation from Flaubert's letter is used by Thibaudet and has been translated from his book.